## Color

6.819/6.869, MIT<br>Bill Freeman<br>Antonio Torralba

## Sept. 21, 2017

## Why does a visual system need color?

## Why does a visual system need color? (an incomplete list...)

- To tell what food is edible.
- To distinguish material changes from shading changes.

- To group parts of one object together in a scene.
- To find people's skin.
- Check whether a person's appearance looks normal/healthy.

[^0]
## Lecture outline

- Color physics.
- Color perception.


## Lecture outline

- Color physics.
- Color perception.


## Color



## Spectral colors


http://hyperphysics.phy-astr.gsu.edu/hbase/vision/specol.html\#c2

6.3: (a) A spectrograph constructed using a compact disk (CD). Light enters through a slit a diffracting from the narrowly spaced lines of the CD. (b) Photograph of diffraction pattern $f$ ht, seen thorugh hole at bottom left.

(a)

(c)

Figure 6.5: Some real-world objects and the reflected light spectra (photographed using Fig. (6.3) (a)) from outdoor viewing. (a) Leaf and (b) its reflected spectrum. (c) A red door and (d) its reflected


Figure 6.6: More real-world objects and the reflected light spectra. (a) Blue-green chair and (b) its reflected light. (c) Toby the dog and (d) his reflected spectrum.



Foundations of Vision, by Brian Wandell, Sinauer Assoc., 1995

## Color names for cartoon spectra




## Additive color mixing



When colors combine by adding the color spectra. Example color displays that follow this mixing rule: CRT phosphors, multiple projectors aimed at a screen, Polachrome slide film.

Red and green make...


## Subtractive color mixing



When colors combine by multiplying the color spectra. Examples that follow this mixing rule: most photographic films, paint, cascaded optical filters, crayons.

## Cyan and yellow (in crayons, called "blue" and yellow) make...

Green!

## Overhead projector demo

## Subtractive color mixing

Horn, 1986


## The interaction of light with surfaces

Figure 10-7. The bidirectional reflectance distribution function is the ratio of the radiance of the surface patch as viewed from the direction ( $\theta_{e}, \psi_{e}$ ) to the irradiance resulting from illumination from the direction ( $\left.\theta_{i}: \phi_{i}\right)$.

Spectral radiance: power in a specified direction, per unit area, per unit solid angle, per unit wavelength
$B R D F=f\left(\theta_{i}, \phi_{i}, \theta_{e}, \phi_{e}, \lambda\right)=\frac{L\left(\theta_{e}, \phi_{e}, \lambda\right)}{E\left(\theta_{i}, \phi_{i}, \lambda\right)}$
Spectral irradiance: incident power per unit area, per unit wavelength

## Effect of BRDF on sphere rendering


http://www.marmoset.co/toolbag/learn/pbr-practice

## Simplified rendering models: BRDF $\rightarrow$ reflectance



For diffuse reflections, we replace the BRDF calculation with a wavelength-by-wavelength scalar multiplication




Foundations of Vision, by Brian Wandell, Sinauer Assoc., 1995

## Some reflectance spectra



Spectral albedoes for several different leaves, with color names attached. Notice that different colours typically have different spectral albedo, but that different spectral albedoes may result in the same perceived color (compare the two whites). Spectral albedoes are typically quite smooth functions. Measurements by E.Koivisto.

## Simplified rendering models: transmittance






Foundations of Vision, by Brian Wandell, Sinauer Assoc., 1995

## Lecture outline

- Color physics.
- Color perception.


## What's the machinery in the eye?

## Eye Photoreceptor responses


(Where do you think the light comes in?)

## Human Photoreceptors



## Human eye photoreceptor spectral sensitivities

3.3 SPECTRAL SENSITIVITIES OF THE L-, M-, AND SCONES in the human eye. The measurements are based on a light source at the cornea, so that the wavelength loss due to the cornea, lens, and other inert pigments of the eye plays a role in determining the sensitivity. Source: Stockman and MacLeod, 1993.

$\mathrm{L}, \mathrm{M}$, and S cone receptor types colored as $\mathrm{R}, \mathrm{G}, \mathrm{B}$


## What are some color artifacts we might expect our visual system to experience, based on this way of measuring the light spectra falling on our eye?




## A property of our visual system: these two spectra look the same

Foundations of Vision, by Brian Wandell, Sinauer Assoc., 1995

4.11 METAMERIC LIGHTS. Two lights with these spectral power distributions appear identical to most observers and are called metarmers. (A) An approximation to the spectral power distribution of a tungster bulb. (B) The spectral power distribution of light emitted from a conventional television monitor whose three phosphor intensities were set to match the light in panel $A$ in appearance.

3-d depiction of the highdimensional space of all possible power spectra


# Color metamerism: different spectra looking the same color 

Two spectra, t and s , perceptually match when

$$
C \vec{t}=C \vec{s}
$$

where C are the cone response curves.

Graphically,


# Evidence of spatially offset color sampling in an old digital camera <div class="inline-tabular"><table id="tabular" data-type="subtable">
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|  |  |  |  |  |  |  |
|  |  |  |  |  |  |  |</table-markdown></div> <br> \# sensor color sampling pattern 

- Color fringes or jaggies



Where you can see color fringe reconstruction artifacts from your own eye

http://static.flickr.com/21/31393422_23013da003.jpg

## Brewster's colors-evidence of interpolation from spatially offset color samples

Scale relative to human photoreceptor size: each line covers about 7 photoreceptors.


## Brewster's colors-evidence of interpolation from spatially offset color samples

## Lecture outline

- Color physics.
- Color perception
- part 1: assume perceived color only depends on light spectrum.
- part 2: the more general case.


## The assumption for color perception, part 1

- We know color appearance really depends on:
- The illumination
- Your eye's adaptation level
- The colors and scene interpretation surrounding the observed color.
- But for now we will assume that the spectrum of the light arriving at your eye completely determines the perceived color.


## How we sense light spectra

Cone sensitivities, in matrix, C

biophysics: integrate the response over all wavelengths, weighted by the photosensor's sensitivity at each wavelength.
mathematically: take dot product of input spectrum with the cone sensitivity basis vectors. Project the high-dimensionnal test light into a 3-d space. $\mathrm{R}=\mathrm{C} \mathrm{t}$


## Cone response curves as basis vectors in a 3-d subspace of light power spectra



NOTE: any matrix, C , that spans the 3 d subspace of the human
cone responses works to convert a light spectrum into a color

## COLOR STANDARDS

 for FROZENFRENCH FRIED POTATOES


## Color trademarks

## CURRENTLY REGISTERED COLOR TRADEMARKS

http://blog.patents-tms.com/?p=52
A color trademark is a non-conventional trademark where at least one color is used to identify the commercial origin of a product or service. A color trademark must meet the same requirements of a conventional trademark. Thus, the color trademark must either be inherently distinctive or have acquired secondary meaning. To be inherently distinctive, the color must be arbitrarily or suggestively applied to a product or service. In contrast, to acquire secondary meaning, consumers must associate the color used on goods or services as originating from a single source. Below is a selection of some currently registered color trademarks in the U.S. Trademark Office:

```
MARK/COLOR(S)/OWNER:
BANK OF AMERICA 500
blue, red & grey
Bank of America Corporation
NATIONAL CAR RENTAL
green
NCR Affiliate Servicer, Inc.
FORD
blue
Ford Motor Company
VISTEON
orange
Ford Motor Company
7 6
red & blue
ConocoPhillips Company
VW
```


## THE HOME DEPOT

orange
Homer TLC, Inc.
HONDA
red
Honda Motor Co., Ltd.
M MARATHON
brown, orange, yellow
Marathon Oil Company

M MARATHON
gray, black \& white
Marathon Oil Company
COSTCO
red
Costco Wholesale Membership, Inc.
TEENAGE MUTANT NINJA TURTLES MUTANTS \& MONSTERS
red, green, yellow, black, grey and white Mirage Studios, Inc.
silver, metallic blue, black and white
Volkswagen Aktienoesellschaft Corn

TARGET

- How do we measure colors?
- How do we make systems that match colors?


## Color matching experiment


4.10 THE COLOR-MATCHING EXPERIMENT. The observer views a bipartite field and adjusts the intensities of the three primary lights to match the appearance of the test light. (A) A top view of the experimental apparatus. (B) The appearance of the stimuli to the observer. After Judd and Wyszecki, 1975.

## Color matching experiment 1


primary lights

## Color matching experiment 1



## Color matching experiment 1



## Color matching experiment 1



The primary color amounts needed for a match



Relevant to color matching experiments, solve this puzzle:


Relevant to color matching experiments, solve this puzzle:


## Color matching experiment 2



## Color matching experiment 2



## Color matching experiment 2



## Color matching with positive amounts of the primaries



## Color matching with a negative amount of primary 1



## Color matching experiment--handle negative light by adding light to the test.


(B)

4.10 THE COLOR-MATCHING EXPERIMENT. The observer views a bipartite field and adjusts the intensities of the three primary lights to match the appearance of the test light. (A) A top view of the experimental apparatus. (B) The appearance of the stimuli to the observer. After Judd and Wyszecki, 1975.

## Color matching experiment 2

We say a "negative" amount of $p_{2}$ was needed to make the match, because we added it to the test color's side.


The primary color amounts needed for a match:



## To measure a color

1. Choose a set of 3 primary colors (three power spectra).
2. Determine how much of each primary needs to be added to a probe signal to match the test light.

4.10 THE COLOR-MATCHING EXPERIMENT. The observer views a bipartite field and adjusts the intensities of the three primary lights to match the appearance of the test light. (A) A top view of the experimental apparatus. (B) The appearance of the stimuli to the observer. After Judd and Wyszecki, 1975.


## To measure a color

1. Choose a set of 3 primary colors (three power spectra).
2. Determine how much of each primary needs to be added to a probe signal to match the test light.


- We can measure a color by measuring how much of each primary is needed to match that color.
- Can we measure color without having to make psychophysical experiments each time?
- We'd like to find a matrix, C,
 that we can project a spectrum onto, to tell us how much of each primary, in the columns of P, , to use to match the spectrum.
"Color matching functions" tell us how to control primary lights in order to perceptually match a given spectrum


$$
\begin{aligned}
& \square \mathrm{P}_{1}=645.2 \mathrm{~nm} \\
& \square \mathrm{P}_{2}=525.3 \mathrm{~nm} \\
& \mathrm{P}_{3}=444.4 \mathrm{~nm} \\
& \\
& \text { 4.13 THE COLOR- } \\
& \text { MATCHING FUNCTIONS ARE } \\
& \text { THE ROWS OF THE COLOR- } \\
& \text { MATCHING SYSTEM MATRIX. } \\
& \text { The functions measured } \\
& \text { by Stiles and Burch (1959) } \\
& \text { using a 10-degrce bipartite } \\
& \text { field and primary lights at } \\
& \text { the wavelengths 645.2 nm, } \\
& \text { s2S.3 nm, and 444.4 nm } \\
& \text { with unit radiant power are } \\
& \text { shown. The three functions in } \\
& \text { this figure are called } \bar{r}_{10}(\lambda) \text {. } \\
& \bar{g}_{\text {Ie }}(\lambda) \text {, and } \bar{b}_{10} \text { i } \lambda \text { ). }
\end{aligned}
$$

Foundations liof havalan by Brian Wandell, Sinauer Assoc., 1995

# Using the color matching functions to predict the primary match to a new spectral signal 

We know that a monochromatic light of $\boldsymbol{\lambda}_{i}$ wavelength will be matched by the amounts

$$
c_{1}\left(\lambda_{i}\right), c_{2}\left(\lambda_{i}\right), c_{3}\left(\lambda_{i}\right)
$$


of each primary.


And any spectral signal can be thought of as a linear combination of very many monochromatic lights, with the linear coefficient given by the spectral power at each wavelength.

$$
\vec{t}=\left(\begin{array}{c}
t\left(\lambda_{1}\right) \\
\vdots \\
t\left(\lambda_{N}\right)
\end{array}\right)
$$



## Using the color matching functions to predict the primary match to a new spectral signal

Store the color matching functions in the rows of the matrix, C
$C=\left(\begin{array}{lll}c_{1}\left(\lambda_{1}\right) & \cdots & c_{1}\left(\lambda_{N}\right) \\ c_{2}\left(\lambda_{1}\right) & \cdots & c_{2}\left(\lambda_{N}\right) \\ c_{3}\left(\lambda_{1}\right) & \cdots & c_{3}\left(\lambda_{N}\right)\end{array}\right)$


Let the new spectral signal be described by the vector t .

$$
\vec{t}=\left(\begin{array}{c}
t\left(\lambda_{1}\right) \\
\vdots \\
t\left(\lambda_{N}\right)
\end{array}\right)
$$

Using the color matching functions, measured from a set of primaries, to predict how to match any new spectrum, $t$, with those primaries

Then the amounts of each primary needed to match $t$ are:
color matching functions for a given set of primaries

$$
\sum_{j}\left(\begin{array}{l}
c_{1}\left(\lambda_{j}\right) t\left(\lambda_{j}\right) \\
c_{2}\left(\lambda_{j}\right) t\left(\lambda_{j}\right) \\
c_{3}\left(\lambda_{j}\right) t\left(\lambda_{j}\right)
\end{array}\right)=C \vec{t}=
$$



# How the color matching functions, C , and the corresponding primary spectra, P , relate to each other. 



If the primaries, P , correspond to the color matching functions, C , then t and $s$ are perceptual matches. When projected down by C they must give the same answer, so we must have,
$\mathrm{Ct}=\mathrm{Cd}$

## Requirements on $\mathrm{C}, \mathrm{P}$ to form a color matching system:

(1) the rows of C must be some (nondegenerate) linear combination of the eye photosensor response curves.

That ensures that if two spectra match when projected into the subspace spanned by C, they will match when projected into the subspace of the eye response curves.
(2) C, P must satisfy:

$$
\mathrm{CP}=\mathrm{I}
$$

> why must this hold? Because the amounts of the 3 primaries needed to match the spectrum of each primary (the columns of P) must be $[1 ; 0 ; 0],[0 ; 1 ; 0]$, $[0 ; 0 ; 1]$.

If those conditions hold, then the spectrum PCt will be a perceptual match to $t$, because
$\mathrm{Ct}=\mathrm{CPCt}$

## How do color coordinates translate between different sets of primaries?



$\mathrm{P}^{\prime}$ are the old primaries
C are the new primaries' color matching functions

## Comparison of color matching functions with best linear combination of cone response curves


#### Abstract

4.20 COMPARISON OF CONE PHOTOCURRENT RESPONSES AND THE COLOR-MATCHING FUNCTIONS. The cone photocurrent spectral responsivities are within a linear transformation of the color-matching functions; after a correction has been made for the optics and inert pigments in the eye. The smooth curves show the Stiles and Burch (1959) colormatching functions. The symbols show the matches predicted from the photocurrents of the three types of macaque cones. The predictions included a correction for absorption by the lens and other inert pigments in the eye. Source: Baylor, 1987.




Foundations of Vision, by Brian Wandell, Sinauer Assoc., 1995

## Standardization

- Now we know, for any given set of primaries, P , how to measure the color matching functions, C , corresponding to those primaries. And, knowing C, we know how to control the primaries $P$ to match any given color spectrum. And we know how to translate from one set of color matching functions to another.
- Now we just need to standardize on a set of color matching functions, C , so that our color measurements are compatible.


## CIE XYZ color space

- Commission Internationale d'Eclairage, 1931 (International Commission on Illumination).
- "...as with any standards decision, there are some irratating aspects of the XYZ color-matching functions as well...no set of physically realizable primary lights that by direct measurement will yield the color matching functions."
- "Although they have served quite well as a technical standard, and are understood by the mandarins of vision science, they have served quite poorly as tools for explaining the discipline to new students and colleagues outside the field."

4.14 THE XYZ STANDARD COLOR-MATCHING FUNCTIONS. In 1931 the CIE standardized a set of color-matching functions for image interchange. These color-matching functions are called $\bar{x}(\lambda), \bar{y}(\lambda)$, and $\bar{z}(\lambda)$. Industrial applications commonly describe the color properties of a light source using the three primary intensities needed to match the light source that can be computed from the XYZ color-matching functions.

CIE XYZ: Color matching functions are positive everywhere, but primaries are "imaginary" (require adding light to the test color's side in a color matching experiment). Usually compute $\mathrm{x}, \mathrm{y}$, where $\mathrm{x}=\mathrm{X} /(\mathrm{X}+\mathrm{Y}+\mathrm{Z})$

$$
\mathrm{y}=\mathrm{Y} /(\mathrm{X}+\mathrm{Y}+\mathrm{Z})
$$



## Pure wavelength in chromaticity diagram



The 1931 standard observer, as it is usually shown.


## Pure wavelength in chromaticity diagram



The 1931 standard observer, as it is usually shown.


## Pure wavelength in chromaticity diagram



The 1931 standard observer, as it is usually shown.


## Pure wavelength in chromaticity diagram



The 1931 standard observer, as it is usually shown.


## Pure wavelength in chromaticity diagram



The 1931 standard observer, as it is usually shown.


## XYZ vs. RGB

- Linear transform
- XYZ is rarely used for storage

- There are tons of flavors of RGB
- sRGB, Adobe RGB
- Different matrices!
- XYZ is more standardized
- XYZ can reproduce all colors with positive values
- XYZ is not realizable physically !!
- What happens if you go "off" the diagram
- In fact, the orthogonal (synthesis) basis of XYZ requires negative values.


## Concepts in color measurement

- What are colors?
- Arise from power spectrum of light.
- How represent colors:
- Pick primaries
- Measure color matching functions (CMF's)
- Matrix mult the test color's power spectrum by CMF's to find color in terms of the 3 primary color values which will give a perceptual match to the test color's power spectrum.
- How share color descriptions between people?
- Standardize on a few sets of primaries.
- Translate colors between systems of primaries (3x3 matrix multiplications).


## Displaying Contrast Sensitivity Function (CSF)








Another psychophysical fact: luminance and chrominance channels in the brain

From W. E.
Glenn, in Digital Images and Human Vision, MIT Press, edited by Watson, 1993


## Figure 6.1

Contrast sensitivity threshold functions for static luminance gratings $(\mathrm{M})$ and isoluminance chromaticity gratings $(\mathrm{R} / \Upsilon, \mathrm{B} / \mathrm{Y})$ averaged over seven observers.

## NTSC color components: Y, I, Q

$\left(\begin{array}{c}Y \\ I \\ Q\end{array}\right)=\left(\begin{array}{ccc}0.299 & 0.587 & 0.114 \\ 0.596 & -0.274 & -0.322 \\ 0.211 & -0.523 & 0.312\end{array}\right)\left(\begin{array}{c}R \\ G \\ B\end{array}\right)$


## NTSC - RGB



R



G


2 thenval

Liof We A A x, \&


B






## Spatial resolution and color



R


## Blurring the R component


original

processed

## Blurring the G component


original

processed


R

B

## Blurring the B component


original

processed



B

From W. E.
Glenn, in Digital Images and Human
Vision, MIT
Press, edited by Watson, 1993


## Figure 6.1

Contrast sensitivity threshold functions for static luminance gratings $(\mathrm{Y})$ and isoluminance chromaticily gratings ( $\mathrm{R} / \mathrm{Y}, \mathrm{B} / \mathrm{Y}$ ) averaged over seven observers.

## Lab color components



L A rotation of the color
coordinates into
directions that
are more perceptually meaningful:
L: luminance, a: red-green, b: blue-yellow

## Blurring the L Lab component


original

processed
$\sigma$

## Blurring the a Lab component


original

processed


L

## Blurring the b Lab component


original

processed


## Lecture outline

- Color physics.
- Color perception
- part 1: assume perceived color only depends on light spectrum.
- part 2: the more general case.


## Color constancy demo

- We assumed that the spectrum impinging on your eye determines the object color. That's often true, but not always. Here's a counter-example...


## Low-dimensional models for color spectra



How to find a linear model for color spectra:
--form a matrix, D, of measured spectra, 1 spectrum per column.
$--[u, s, v]=\operatorname{svd}(D)$ satisfies $D=u^{*} s^{*} v^{\star}$
--the first n columns of u give the best (least-squares optimal) n -dimensional linear bases for the data, D :

$$
D \approx u(:, 1: n) * s(1: n, 1: n) * v(1: n,:)^{\prime}
$$

## Macbeth Color Checker




My Macbeth Color Checker Tattoo
I think I have all the other color checker photos beat...

Yes, the tattoo is real.
No, it is not a rubik's cube.
THIS PHOTOGRAPH IS COPYRIGHT 2007 THE X-RITE CORPORATION!

A photograph from this session can be viewed on the X-Rite Website: www.xrite.com/ top munsell.aspx

## Basis functions for Macbeth color checker



## Fitting color spectra with low-dimensional linear models



98 A LINEAR MODEL TO APPROXIMATE THE SURFACE REFLECTANCES IN THE MACBETH COLORCHECKER. The panels in each row of this figure show the surfacerelectance functions of six colored suffaces (shaded lines) and the approximation to these funct ons using a linear model (solid lines). The approximations using finear models with ( $A$ ) three, ( B ) two, and (C) one dimension are shonา.

Foundations of Vision, by Brian Wandell, Sinauer Assoc., 1995

## Rendering equation for $j$ th observation



## Color constancy solution 1: find white in the scene

Let the kth patch be the white one, with surface coefficients assumed to be $\vec{x}$ Then we can solve for the illuminant coefficient, $\vec{x}^{2}$


$$
\left(\begin{array}{c}
\mathrm{L}_{\mathrm{j}} \\
\mathrm{M}_{\mathrm{j}} \\
\mathrm{~S}_{\mathrm{j}}
\end{array}\right)=\stackrel{\mathbf{E}^{T}}{ } \quad \begin{gathered}
\\
\end{gathered}
$$




Color constancy solution 2 : assume scene colors average to grey, then solve for the illuminant, $\vec{x}^{i}$

$$
\begin{aligned}
& \frac{1}{N} \sum_{j}\left(\begin{array}{c}
L_{j} \\
M_{j} \\
S_{j}
\end{array}\right)=\mathbf{E}^{T}\left(\mathbf{A} \frac{1}{N} \sum_{j} \vec{x}_{j}^{s} \cdot * \mathbf{B} \vec{x}^{i}\right) \\
& =\underbrace{\mathbf{E}^{T}\left(\mathbf{A} \vec{x}^{T i} * \mathbf{B} \vec{x}^{i}\right)} \\
& \text { a } 3 \times 3 \text { matrix } \\
& \left(\begin{array}{c}
\mathrm{L}_{\mathrm{j}} \\
\mathrm{M}_{\mathrm{j}} \\
\mathrm{~S}_{\mathrm{j}}
\end{array}\right)=\stackrel{\mathbf{E}^{T_{i}}}{\because} \text { 压 }
\end{aligned}
$$

an image that violates both assumptions



## Selected Bibliography

Vision Science<br>by Stephen E. Palmer<br>MIT Press; ISBN: 0262161834<br>760 pages (May 7, 1999)

Billmeyer and Saltzman's Principles of Color Technology, 3rd Edition
by Roy S. Berns, Fred W. Billmeyer, Max Saltzman Wiley-Interscience; ISBN: 047119459X 304 pages 3 edition (March 31, 2000)

Vision and Art : The Biology of Seeing by Margaret Livingstone, David H. Hubel Harry N Abrams; ISBN: 0810904063
208 pages (May 2002)

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The Reproduction of Color
by R. W. G. Hunt
Fountain Press, 1995


Color Appearance Models
by Mark Fairchild
Addison Wesley, 1998

## Other color references

- Reading:
- Chapter 6, Forsyth \& Ponce
- Chapter 4 of Wandell, Foundations of Vision, Sinauer, 1995 has a good treatment of this.


[^0]:    http://www.pouted.com/know-10-
    points-information-unicorn/sick-child/

